

021004

**PLANT  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1236-063PP

First Inventor Moriya KAWASHIMA

Title Osteospermum Plant Named 'Kakegawa AU11'

Express Mail Label No. EV195485715US

U.S.P.T.O.  
10/77561810/775618  
10/775618**ASSIGNEE:** Sakata Seed Corporation  
JapanADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450**APPLICATION ELEMENTS****ACCOMPANYING APPLICATION PARTS**

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.
3.  Specification Total pages [ 8 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention (with Plants name)
  - Cross references to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Latin name of genus and species
  - Variety denomination
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Botanical Description
  - Claim (only one (1) permitted MPEP 1605)
  - Abstract of the Disclosure
4.  Color Drawing(s) (Total Sheets) [ 3 ]  
(3 copies required - 37 CFR 1.165(b))
5.  Oath or Declaration (Total Pages) [ 1 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application  
(37 CFR 1.63(d))
 

(for continuation/divisional with Box 16 completed)

i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)
6.  Application Data Sheet.

7.  Assignment Papers (cover sheet & document(s))
8.  37 CFR 3.73(b) Statement  
(when there is an assignee)
- Power of Attorney
- English Translation Document (if applicable)
- Information Disclosure Statement /PTO 1449
- Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- Certified Copy of Priority Document(s).  
(if foreign priority is claimed)
- Request and Certification under  
35 USC 122(b)(2)(B)(i)
- 15  Other: Certificate of Express Mailing by Label  
EV195485715US

Note: Please state the Latin name and variety denomination of the plant claimed in a separate section of the specification.

## 16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation  Divisional of prior application No.:

Prior application information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label 32905

Address	Jondle & Associates P.C. Suite 200, 9085 East Mineral Circle				
City	Centennial	State	CO	Zip Code	80112
Country	U.S.A.	Telephone	303-799-6444	Fax	303-799-6898
Name	Kenyon L. Schuett, Reg. No. 44,324				
Signature	<i>Kenyon L. Schuett</i>			Date February 10, 2004	

15866  
U.S.PTO
**FEE TRANSMITTAL**  
**for FY 2003**  
**(Large Entity)**

		<i>Complete if Known</i>	
		Application Number	10/
		Filing Date	
		First Named Inventor	Moriya KAWASHIMA
		Examiner Name	
		Group Art Unit	
Total Amount of Payment	\$570.00	Attorney Docket Number	1236-063PP

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 50-2368 in the name of Jondle & Associates P.C.
- Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status
2.  Payment Enclosed  
 Check  
 Credit Card

**FEE CALCULATION****1. FILING FEE**

Fee	Fee	Fee Description	Fee Paid
Code	\$		
1001	770	Utility filing fee	[ ]
1002	340	Design Filing Fee	[ ]
1003	530	Plant Filing Fee	[530.00]
1004	770	Reissue Filing Fee	[ ]
1005	160	Provisional Filing Fee	[ ]

**SUBTOTAL** \$530.00**2. CLAIMS**

Paid	Extra Claims	Fee	Fee
Total Claims	[ ] - 20** = [ ] x \$18 = [ ]		
Independent Claims	[ ] - 3** = [ ] x 86 = [ ]		
Multiple Dependent Claims	+ 290 = [ ]		

\*\*or number previously paid, if greater;

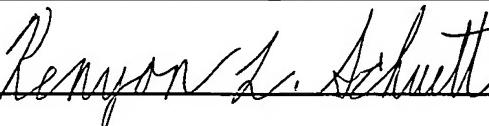
**SUBTOTAL** \$**3. ADDITIONAL FEES**

Fee Code	Fee Paid	Fee Description	Fee Paid
1051	130	Surcharge - late filing fee or oath	[ ]
1052	50	Surcharge - late provisional filing fee or cover sheet	[ ]
1053	130	Non-English specification	[ ]
1812	2,520	For filing a request for reexamination	[ ]
1804	920	Requesting publication of SIR prior to Examiner action	[ ]
1805	1,840*	Requesting publication of SIR after Examiner action	[ ]
1251	110	Extension for reply within first month	[ ]
1252	420	Extension for reply within second month	[ ]
1253	950	Extension for reply within third month	[ ]
1254	1,480	Extension for reply within fourth month	[ ]
1255	2,010	Extension for reply within fifth month	[ ]
1401	330	Notice of Appeal	[ ]
1402	330	Filing a brief in support of an appeal	[ ]
1403	290	Request for Oral Hearing	[ ]
1451	1,510	Petition to institute a public use proceeding	[ ]
1452	110	Petition to revive -unavoidable	[ ]
1453	1,330	Petition to revive - unintentional	[ ]
1501	1,330	Utility issue fee (or reissue)	[ ]
1502	480	Design issue fee	[ ]
1503	640	Plant issue fee	[ ]
1460	130	Petitions to the Commissioner	[ ]
1807	50	Processing fee under 37 CFR 1.17(q)	[ ]
1806	180	Submission of Information Disclosure Statement	[ ]
8021	40	Recording each patent assignment per property (times number of properties)	[40.00]
1809	770	Filing a submission after final rejection (37 CFR .129(a))	[ ]
1810	770	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
1801	770	Request for Continued Examination (RCE)	[ ]
1802	900	Request for expedited examination of a design application	[ ]
1504	300	Publication fee for early, voluntary, or normal publication	[ ]
1505	300	Publication fee for republication	[ ]
1455	200	Filing application for patent term adjustment	[ ]
1456	400	Request for reinstatement of term reduced	[ ]
1814	110	Statutory Disclaimer Other fee (specify)	[ ]

\* Reduced by Basic Filing Fee Paid

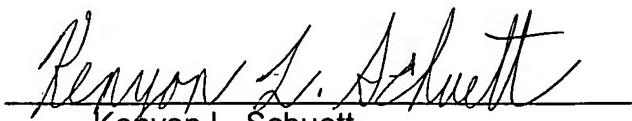
**SUBTOTAL**

\$40.00

SUBMITTED BY		Complete (if applicable)		
NAME AND REG. NUMBER	Kenyon L. Schuett, Reg. No. 44,324			
SIGNATURE		DATE	February 10, 2004	DEPOSIT ACCOUNT USER ID

Certificate of Mailing by Express Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (post office to addressee) in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on this 10th day of February 2004. The number of the Express Mail mailing label is EV195485715US.

  
\_\_\_\_\_  
Kenyon L. Schuett  
Reg. No. 44,324  
February 10, 2004